

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

10/723,714

: Confirmation No.:

9797

Applicant

Bing Ji, et al.

Filed

November 26, 2003

For

METHOD FOR ETCHING HIGH DIELECTRIC CONSTANT MATERIALS

AND FOR CLEANING DEPOSITION CHAMBERS FOR HIGH

DIELECTRIC CONSTANT MATERIALS

Art Unit

1763

Examiner

George A. Goudreau

Docket No.

06299P2 USA

Customer No.

23543

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

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Sir:

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The information disclosure statement transmitted herewith is being filed after the period specified in § 1.97(b) but before the mailing date any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application.

Accompanying this transmittal is

a statement as specified in 35 C.F.R. 1.97(e)

or

the fee set forth in 37 C.F.R. 1.17(p).

Respectfully submitted,

Rosaleen P. Morris-Oskanian Attorney for Applicant(s) Registration No. 47,321

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This ⊠	statement is being made for the Information Disclosure statement accompanying this statement.
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I, the	e person(s) signing below, state: that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. 37 CFR 1.97(e)(1).
	that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of the statement 37 CFB 1.97(e)(2)

person making this statement is the attorney/agent who signs below on the information: supplied by the inventor(s). supplied by an individual designated in § 1.56(c). in the attorney's file.
Respectfully submitted,
Resal We
Rosaleen P. Morris-Oskanian Attorney for Applicant(s) Registration No. 47,321
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AUS 1 5 2005

PTO/SB/08A (08-03)
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Under the Paperwork Reduction Act of 1995, no respond to a collection of information unless it contains a valid OMB control number. TRABEN' Complete if Known Substitute for form 1449/PTO **Application Number** 10/723,714 Filing Date November 26, 2003 INFORMATION DISCLOSURE STATEMENT BY APPLICANT First Named Inventor Bing Ji, et al. (Use as many sheets as necessary) Art Unit 1763 **Examiner Name** George A. Goudreau Sheet of Attorney Docket Number 1 1 06299P2 USA

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or
		Number-Kind Code ^{2 (il known)}			Relevant Figures Appear
		^{US-} 5,637,153	06-10-1997	Niino, et al.	
		^{US-} 2001/023744	09-27-2001	Komino Mitsuaki	
		^{US-} 6,495,054	12-17-2002	Kazuhiro, et al.	
		^{US-} 5,756,400	05-26-1998	Ye, et al.	
	į	US-			
		US-			
	†	US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or	
		Country Code ³ Number ⁴ Kind Code ⁵ (*			Relevant Figures Appear	T⁵
		WO 02/43115	05-30-2002	ASM America, Inc.		√
		JP 2003 203907	07-18-2003	Hitachi Ltd.	Abstract	√
		WO 98/27005 A	06-25-1998	Wakefield, et al.		✓
		WO 03/012843	02-13-2003	L'Air Liquide		√
		EP 1 460 678 A	09-22-2004	L'Air Liquide		✓
		EP 1 382 716 A	01-21-2004	Bing Ji, et al.		✓

Examiner	Date	i i
Signature	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformation and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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